

01-14-02

A

Express Mail Label No. EV051352545US

Patent
Attorney Docket: 270/175US

01/11/02
JC698 U.S. PTO

To: Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

10/044722
JC714 U.S. PTO
01/11/02

NEW APPLICATION TRANSMITTAL - UTILITY

Sir:

Transmitted herewith for filing is a **utility** patent application:

Inventor(s): Emanuel DiCicco-Bloom, Arnaud Nicot, Nairu Lu, Junghyup Suh

Title: Pituitary adenylate cyclase-activating polypeptide (PACAP) is an anti-mitogenic signal for selected neuronal precursor cells *in vivo*

I. PAPERS ENCLOSED HEREWITH FOR FILING UNDER 37 CFR § 1.53(b):

- 37 Page(s) of Written Description
- 7 Page(s) Sequence Listing
- 6 Page(s) Claims
- 1 Page(s) Abstract
- 4 Sheets of Drawings ☒ Informal ☐ Formal

II. ADDITIONAL PAPERS ENCLOSED IN CONNECTION WITH THIS FILING:

- ☐ Declaration
- ☐ Power of Attorney ☐ Separate ☐ Combined with Declaration
- ☐ Assignment to _____ and assignment cover sheet
- ☐ Certified Copy of Priority Document No(s): _____
- ☐ Information Disclosure Statement w/PTO 1449 ☐ Copy of Citations
- ☐ Preliminary Amendment
- ☐ Request and Certification under 35 U.S.C. § 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35
- ☒ Return Postcard
- ☒ Other: Submission of Sequence Listing with readable diskette

CERTIFICATE OF MAILING
(37 C.F.R. §1.10)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as 'Express Mail Post Office To Addressee' in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

EV051352545US
Express Mail Label No.

January 11, 2002
Date of Deposit

Emanuel Nicolas
Name of Person Mailing Paper

[Signature]
Signature of Person Mailing Paper

III. PRIORITY

- ☒ Priority of application Serial No. 60/260,909 filed on January 11, 2001 in U.S. is claimed under 35 U.S.C. § 119.

IV. THE FILING FEE HAS BEEN CALCULATED AS SHOWN BELOW:

- ☒ Applicant claims small entity status pursuant to 37 CFR § 1.27

BASIC FILING FEE:						\$740.00
Total Claims	45	-	20	=	25 x \$18.00	\$450.00
Independent Claims	7	-	3	=	4 x \$84.00	\$336.00
Multiple Dependent Claims	\$280	(if applicable)			<input type="checkbox"/>	\$0.00
TOTAL OF ABOVE CALCULATIONS						\$1,526.00
Reduction by ½ for Filing by Small Entity, if applicable, as asserted above. Note 37 CFR §§ 1.9, 1.27, 1.28.						\$763.00
Misc. Filing Fees (Recordation of Assignment -- \$40)						\$0.00
TOTAL FEES DUE HEREWITH						\$763.00

V. METHOD OF PAYMENT OF FEES

- ☐ A check in the amount of ____.
- ☒ Charge Lyon & Lyon's Deposit Account No. **12-2475** in the amount of \$763.00.
- ☐ This application is being filed without fee or Declaration under 37 CFR § 1.53.

VI. AUTHORIZATION TO CHARGE FEES

The Commissioner is authorized to credit any overpayment and to charge any underpayment to Lyon & Lyon's Deposit Account No. **12-2475** for the following:

- ☒ 37 CFR § 1.16 – (Filing fees and excess claims fees)
- ☒ 37 CFR § 1.17 – (Any application processing fees)
- ☐ 37 CFR § 1.21 – (Assignment recording fees)

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Patent
Attorney Docket: 270/175US

VII. CORRESPONDENCE ADDRESS

Please send all correspondence to Customer Number 22249:



22249

PATENT TRADEMARK OFFICE

LYON & LYON LLP
Suite 4700
633 W. Fifth Street
Los Angeles, CA 90071
(213) 489-1600

Please direct all inquiries to Michael J. Wise, at the above customer number.

Respectfully submitted,

LYON & LYON LLP

Dated: _____

1/11/02

By: _____

L. Sliger

Lauren Sliger

Reg. No. P-51,086

2002 JAN 11 PM 4:00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

DICICCO-BLOOM, Emanuel et al.

Serial No.: to be assigned

Filed: January 11, 2001

For: Pituitary adenylate cyclase-activating
polypeptide (PACAP) is an anti-mitogenic
signal for selected neuronal precursors in vivo

)
) **Group Art Unit:** to be assigned

)
) **Examiner:** to be assigned

SUBMISSION OF SEQUENCE LISTING

Box NEW APP
Box SEQUENCE
Commissioner for Patents
Washington, D.C. 20231

Sir:

Enclosed are a computer readable copy and a paper copy of the Sequence Listing for the above-identified patent application. The contents of both the computer readable and the paper copies are the same and, where applicable, include no new matter, as required by 37 §§ CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b) or 1.825(d).

Respectfully submitted,
LYON & LYON LLP

Dated: January 11, 2002

By: 

Lauren Sliger

Reg. No. P-51,086



22249

PATENT TRADEMARK OFFICE

LYON & LYON LLP
633 W. Fifth Street, Suite 4700
Los Angeles, CA 90071
Ph: (213) 489-1600
Fax: (213) 955-0440

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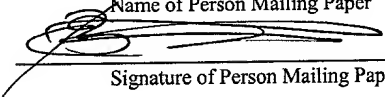
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